

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

Date:

July 7, 2000

Atty. Docket No. SAA-42

Sir:

Transmitted herewith for filing is the original patent application of:

Inventors: Alain Marbach, Richard A. Baker, Rainer H. Wischinski and Charles J.

Klindt, have made an invention pertaining to:

For: A METHOD AND APPARATUS FOR A NOTIFICATION SYSTEM OF AN AUTOMATION

NETWORK

Enclosed are:

X 6 pages specification, 3 pages of claims, 1 page of Abstract and 2 sets of 2 sheets of informal drawings (Figures 1-3).

- X An unexecutor Assignment of the invention to the Schneider Automation Inc.
- X An unexecuted Declaration combined with Power of Attorney.

FEE CALCULATION

	Claims Filed / Extra	Rate	Basic Fee 37 CFR 1.16(a)
			\$ 690.00
Total Claims(37 CFR 1.16(a))	4 - 20 = 0 x	\$18.00	\$ 0.00
Independent Claims	1 - 3 = 0 x	\$78.00	\$ 0.00
TOTAL FILING FEE			\$ 690.00

- X Please charge my Deposit Account No. 19-3875 (SAA-42).
 - X \$ 690.00 to cover the total filing fee of \$ 690.00.
 - X Any additional fees which may be required. Please credit any overpayment to Account No. 19-3875 (SAA-42).

Respectfully submitted,

Michael J. Femal Reg. No. 27,784

Attorney for Applicants

Square D Company 1415 South Roselle Road Palatine, IL 60067 Inventor One Given Name:: Alain

Family Name:: Marbach

Postal Address Line One:: 231 Washington Street

City:: Belmont

State or Province:: Massachusetts

Country:: USA

Postal or Zip Code:: 02478 Citizenship Country:: France

Inventor Two Given Name:: Richard A

Family Name:: Baker

Postal Address Line One:: 288 Middle Street

City:: West Newbury

State or Province:: Massachusetts

Country:: USA

Postal or Zip Code:: 01985 Citizenship Country:: USA

Inventor Three Given Name:: Rainer H

Family Name:: Wischinski

Postal Address Line One:: Street 110 North Road

City:: Sandown

State or Province:: New Hampshire

Country:: USA

Postal or Zip Code:: 03873 Citizenship Country:: Germany

Inventor Four Given Name:: Charles J

Family Name:: Klindt

Postal Address Line One:: 12 Farrwood Road

City:: Windham

State or Province:: New Hampshire

Country:: USA

Postal or Zip Code:: 03087 Citizenship Country:: USA

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 23569 Electronic Mail One:: trechelk@squared.com

APPLICATION INFORMATION

Title Line One:: A METHOD AND APPARATUS FOR A NOTIFICATIO

Title Line Two:: N SYSTEM OF AN AUTOMATION NETWORK

Total Drawing Sheets:: 2
Formal Drawings?:: No
Application Type:: Utility
Docket Number:: SAA-42

Secrecy Order in Parent Appl.?:: No

Source:: PrintEFS Version 1.0.1

A METHOD AND APPARATUS FOR A NOTIFICATION SYSTEM OF AN AUTOMATION NETWORK

DESCRIPTION

5

15

20

25

Technical Field

The present invention relates to a notification system. More specifically, the present invention relates to a notification system for an automation network.

10 Background of the Invention

The automation of both complex and simple tasks has improved the output quality of such tasks while also providing operator personnel with the ability to focus on other tasks requiring human intervention. However, some level of human intervention with the automation process is usually required. System bottlenecks occur within the automation process when a response to a request for human intervention is delayed.

Notification applications have been incorporated into automation networks to alert operator personnel that human intervention is needed within the automation process. Some types of notification applications include bells, sirens, speakers, whistles, flashing lights, process suspension or a combination thereof. Generally, these types of alarm applications require operator personnel to be nearby to respond to the alarm and maintain the automation system.

Other notification applications alert remotely located operator personnel to report to the automation network for intervention. These notification applications are triggered by a device monitored on the network. Simple messages such as

10

15

20

25

e-mail are transmitted to notify operator personnel. More sophisticated notification systems initiate function blocks that are written in higher level languages, such a Java, to transmit e-mail for notifying operator personnel. However, the operative Java code is not transmitted to operator personnel. See European patent EP0875023 and German patents DE29600609.2 and DE29622133.3.

Control systems have been integrated with the Internet to facilitate communication between a monitored device and operator personnel. Web access communication is limited because operator personnel or a web browser triggers the communication as opposed to a controller or a monitored device. See U.S. Patent Nos. 5,805,442, 5,975,737 and 5,982,362.

Although operator personnel do not have to be near the automation network, the number of acceptable remote locations are limited due to the simplistic format of the notification message and/or the limitations and requirements of the message receiver.

The present invention is provided to solve these and other problems.

Summary of the Invention

The present invention provides a notification system for an automated network wherein an object is transmitted to communicate with operator personnel or any kind of third party software. The object can comprise both data and procedures to manipulate the data. The object is launched when a controller requests intervention by operator personnel.

An embodiment of the present invention is directed to a method of providing notification to an operator of an automation network having an intelligent automation device and a network device located on the automation network. The method comprises the steps of sensing a signal from the network device. An object is transmitted to a receiving device operably connected to the network for notifying the operator, the object being responsive to the signal.

30

Another embodiment of the present invention is directed to a notification system for an automation network. The notification system comprises an

10

15

20

25

30

intelligent automation device having an object. Also included in the notification system is a sensor for monitoring a network device on the network. The sensor for monitoring the network device is operably connected to the intelligent automation device, wherein the intelligent automation device transmits the object in response to the sensor. The object is transmitted to a receiving device having means for displaying the object.

Other features and advantages of the invention, which are believed to be novel and nonobvious, will be apparent from the following specification taken in conjunction with the accompanying drawings in which there is shown a preferred embodiment of the invention. Reference is made to the claims for interpreting the full scope of the invention which is not necessarily represented by such embodiment.

Brief Description of the Drawings

FIGURE 1 is an illustration of a block diagram representing the present invention;

FIGURE 2 is an illustration of a block diagram representing an alternative embodiment of the present invention and,

FIGURE 3 is an illustration of a block diagram representing a portion of the function block diagram.

Detailed Description of the Preferred Embodiment

While this invention is susceptible of embodiments in many different forms, there is shown in the drawings and will herein be described in detail preferred embodiments of the invention with the understanding that the present disclosure is to be considered as an exemplification of the principles of the invention and is not intended to limit the broad aspect of the invention to the embodiments illustrated.

This invention is directed to transmitting an object from a function block diagram 11, e.g., International Electrotechnical Commission (IEC) 1131 OFB, DFB, and EFB, etc.; to a receiving or display device 16, such as a monitor, for requesting human intervention with an automation network 10. Specifically, the

10

15

20

25

30

function block contains an object, preferably a Java-like module, that is transmitted to, and activated at, its destination receiving device 16. The receiving device 16 will launch the Java-like object to notify an operator of a situation affecting the automation network 10. Alternatively, the object can be transmitted to interact with another network or software module.

This invention allows operator personnel to be notified of a network event requesting human intervention without requiring operator personnel to continuously maintain an active alarm handling application for the automation network 10. An object is transmitted by the program application, i.e., function block diagram, of an intelligent automation device 12 to notify and request intervention by an operator. This is useful in alarm applications and when occasional intervention by an operator is required in an automation process. In addition, a programmer can create an object that is integrated within the intelligent automation devices's application program and thus, able to be easily modified at the same time the controller's program is edited. Preferably, the invention is used with a programmable logic controller 12 (PLC), but any other intelligent automation device can be used, i.e., AC-inverter, motion controller, drives, circuit breakers, etc.

In Figure 1, a function block 14 resides within a controller's application program and is energized or activated through a function block diagram 15. The function block 14 includes an object that is transmitted to a receiving device 16 for notifying operator personnel. The receiving device 16 has means for receiving and displaying the object, e.g., a computer having a web browser.

The object has a defined data type and data structure that includes both data and functions. The object can be a file containing extensible markup language (XML), hyper text markup language (HTML), wireless application protocol (WAP) or WML (an XML application) that is specifically devised for small screens and navigation without a keyboard. WML is found on devices such as smart phones and communicators with simple mail transfer protocol (SMTP), or Java code. Some object oriented programming languages are Java, C++ and Smalltalk.

10

15

20

25

30

FIGURE 3 is an illustration of a block diagram representing a portion of the function block diagram 15. An input from a network device (not shown) on the network is monitored, preferably through polling, by sensing a signal from the network device. In response to the signal from the network device, the function block can transmit an object containing a Java-like code to the display device 16. An agent residing at the display device 16 can be activated to display the object to request intervention by an operator. In another embodiment of the invention, the object activates the agent on the display device 16.

Upon receipt of a Java, HTML, XML or WML based object, the receiving device 16 stores the object in a temporary location of the receiving device 16. The receiving device 16 displays the object for notification to an operator. Preferably, a web browser displays an image on the screen of the receiving device 16. However, it is to be understood that audible notification or any other type of sensory notification to the receiving device 16 is also contemplated by this invention. If the intervention request is transmitted by a network device 20 in Java, HTML, XML or WML, the receiving device 16 displays a graphic image related to the network device 20 that initiated the controller's request for intervention. The receiving device 16 then waits for the operator personnel to intervene. If desired, an operator can send a message response back to the function block 14, EFB, through the receiving device 16. The function block diagram waits for a message response from the receiving device 16. The response can close the connection or abort it by setting a bit or flag within the function block 14. If the operator transmits a return message, the information is placed in a location specified by the function block diagram 14.

Other messages having different protocols can also be sent to various other receiving devices 16; such as XML to computers; WML to cell phones; wireless application protocol (WAP) to mobile phones, pagers, two-way radios, smartphones and communicators; hyper text markup language (HTML) to web browsers; simple mail transfer protocol (SMTP) to electronic mail boxes, etc. In addition, a handheld device such as a personal digital assistant (PDA) that combines computing, telephone/fax, and networking features can also be used as a receiving device 16. A PDA can function as a cellular phone, fax, and personal

10

15

20

25

organizer. Unlike portable computers, most PDAs are pen-based, using a stylus rather than a keyboard for input. PDAs can incorporate handwriting recognition features and some PDAs can also react to voice input by using voice recognition technologies. Thus, operator personal can provide a written or oral response to the controller 12 requesting intervention.

Figure 2 represents an alternate embodiment of the present invention wherein transmission of an object to the receiving device 16 is wireless. A receiving device 16 having an agent, preferably a computer with a browser, monitors network events on a predetermined port. A function block code 14 i.e., EBD, EFB, OFB, is energized through a function block diagram 11 and connects to the agent at a predetermined receiving device 16, e.g., computer, pager, cell phone, etc. The function block 14 sends the object to the agent or an other server, such as a SMTP server. The object can contain any markup language, e.g., XML, HTML, WML or Java code. If the object contains SMTP, the object is e-mailed without awaiting a reply from the receiving device 16. The agent initiates a web browser on the receiving device 16 if the message is Java, HTML, XML or WML.

The object executes at the receiving device 16, perhaps displaying a graphic image of a network device 20 that requires attention. The browser waits for operator personnel to intervene. If an operator enters a response message, the response message is sent back to the function block 14 wherein the controller 12 can act in accordance with the response message. The message response is placed in a location specified by the function block 14.

While specific embodiments have been illustrated and described, numerous modifications come to mind without significantly departing from the spirit of the invention and the scope of protection is only limited by the scope of the accompanying claims.

CLAIMS

We claim:

5

1. A method of providing notification to an operator of an automation network having an intelligent automation device and a network device located on the automation network, the method comprising the steps of:

sensing a signal from the network device;

transmitting an object to a receiving device operably connected to the network for notifying the operator, the object being responsive to the signal.

- 2. The method of claim 1 wherein the receiving device comprises means for displaying the object.
 - 3. The method of claim 2 wherein the means for displaying the object is a web browser.
- 4. The method of claim 3 wherein the object is a Java-like program.
 - 5. The method of claim 1 wherein the intelligent automation device is a programmable logic controller.
- 6. The method of claim 1 further including transmitting a response to the intelligent automation device.
 - 7. A notification system for an automation network having a network device located on the automation network, the notification system comprising:
- a sensor for monitoring the network device, the sensor being operably connected to the automation network;

an intelligent automation device operably connected and responsive to the sensor, the intelligent automation device having an object; and,

a receiving device operably connected to the automation network, wherein the intelligent automation device transmits the object to the receiving device to notify the operator.

15

- 8. The notification system of claim 7 wherein the receiving device comprises a software module to interact with the intelligent automation device.
- 9. The notification system of claim 7 wherein the receiving device has means for displaying the object.
 - 10. The notification system of claim 9 wherein the means for displaying comprises a web browser.
 - 11. The method of claim 10 wherein the object is a Java-like program.
 - 12. The notification system of claim 7 wherein the intelligent automation device is a programmable logic controller.
- 13. The method of claim 7 wherein the object is an extensible markup language (XML).
- 14. The method of claim 7 wherein the object is a wireless application 20 protocol (WAP).
 - 15. The method of claim 7 wherein the object is a hyper text markup language (HTML).
- 16. The method of claim 7 wherein the object is a WML language.
 - 17. A notification system for an automation network having an intelligent automation device responsive to a network device located on the automation network, the notification system comprising:
- an object embedded in the intelligent automation device; and,

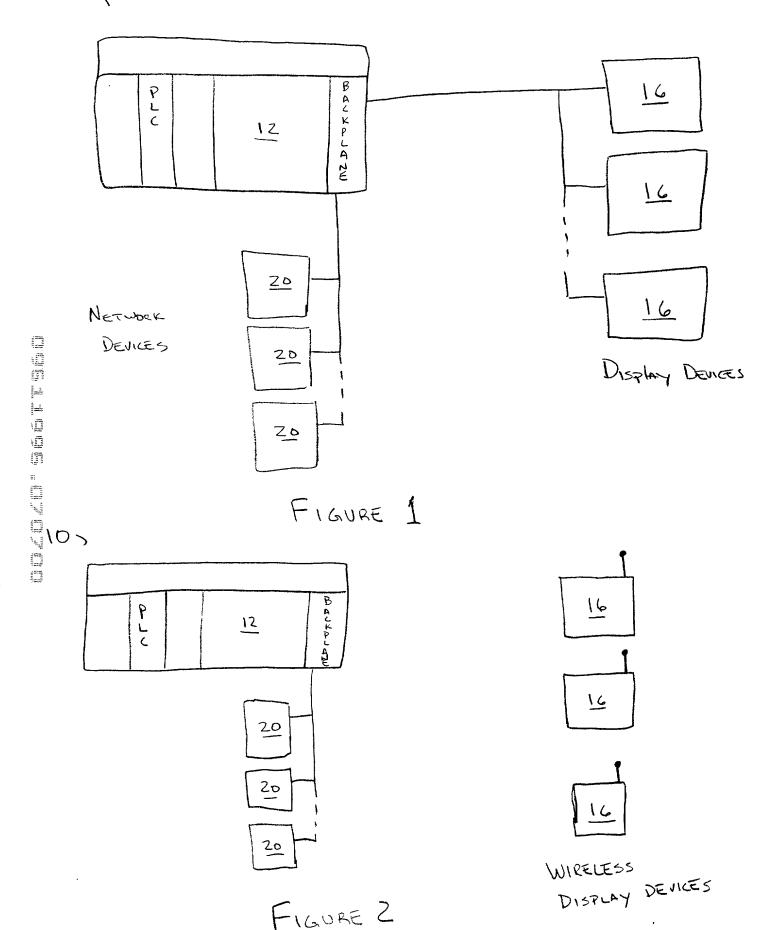
a receiving device operably connected to the intelligent automation device, wherein the intelligent automation device transmits the object to the receiving device.

- 18. The notification system of claim 17 wherein the receiving device comprises a software module to interact with the intelligent automation device.
 - 19. The notification system of claim 17 wherein the receiving device has means for displaying the object.
- 20. The notification system of claim 19 wherein the intelligent automation device is a programmable logic controller.

ABSTRACT OF THE DISCLOSURE

A method and apparatus for a notification system for an automation network. The notification system comprises a sensor for monitoring a network device operably connected to the automation network. An intelligent automation device having an object is responsive to the sensor, wherein the intelligent automation device transmits the object to a receiving device for displaying the object to an operator for requesting human intervention with the automation network.

(97758.1)



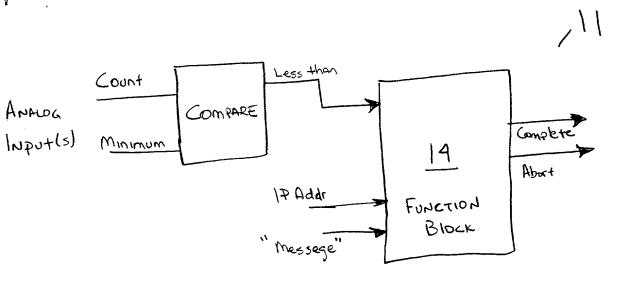


FIGURE 3

As a below-named inventor, I(We) hereby declare that:

(Application Serial No.)

(1) My	residence, post office	e address and citizenship are as sta	ted below next to my name.		
claimed and fo	or which a patent is so ON SYSTEM OF AN A	tor(s) is/are the original, first inventought on the invention entitled "A MEUTOMATION NETWORK", Attorney	THOD AND APPARATUS FOR A		
	is attached hereto.				
	was filed on	as Application Serial	No		
(3) I(We) hereby state that I(We) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.					
(4) I(V patentability o	Ve) acknowledge the of this application in acc	duty to disclose all information know cordance with Title 37, Code of Fed	n to me to be material t the leral Regulations, § 1.56.		
foreign applica	ation(s) for patent or ir ation for patent or inve	gn priority benefits under Title 35, Unventor certificate listed below and hentor certificate having a filing date b	nave also identified below any		
	F	PRIOR FOREIGN APPLICATION(S) Priority		
			Claimed		
(Number)	(Country)	(Day/Month/Year Filed)	Yes or No		
			Priority <u>Claimed</u>		
(Number)	(Country)	(Day/Month/Year Filed)	Yes or No		
application(s) disclosed in the United States 37. Code of F	listed below and, inso he prior United States Code, § 112, I(We) a Federal Regulations, §	application in the manner provided	the claims of this application is not by the first paragraph of Title 35, tterial information as defined in Title curred between the filing date of		
(A	application Serial No.)	(Filing Date)	(Status)		

(Filing Date)

(Status)

Post Office Address:

(7) I(We) hereby and to transact all busine	appoint the following att ss in the Patent and Tra	corney(s) and/or agent(s) ademark Office connecte) to prosecute this ed therewith:	application
Michael J. Femal David R. Stacey, Kareem M. Irfan, Richard J. Graefe	Registration No. 29,068 I, Registration No. 27,78 Registration No. 33,794 Registration No. 32,326 e, Registration No. 35,357 Registration No. 35,357	4 3		
Direct all telepho Address all corre Palatine, IL 60067.	ne calls to: espondence to: Larry I. (Michael J. Femal at 847 Golden, Square D Comp	7/925-3452 pany, 1415 South I	Roselle Road,
(8) I(We) hereby all statements made on it were made with the know imprisonment, or both, ur statements may jeopardia	nformation and belief are vledge that willful false s nder Section 1001 of Tit	statements and the like s le 18 of the United State	nd further that thes o made are punish s Code and that s	se statements nable by fine or
Inventor's Full Name:	Alain		Marbach	-
İ	(First)	(Initial)	(Last)	
Inventor's Signature: Date of Execution:				
Residence:	231 Washington Street, (Include number, street	Belmont, MA 02478 name, city, state, and co	, USA ountry)	
Citizenship:	France			
Post Office Address:	(If different from Reside	nce Address)		-
Inventor's Full Name:	Rainer (First)	H. (Initial)	Wischinski (Last)	
Inventor's Signature: Date of Execution:				
Residence:	Street 110 North Road, (Include number, street	Sandown, NH 03873 name, city, state, and c	USA ountry)	-
Out	Carmany			
Citizenship:	Germany			

(If different from Residence Address)

Inventor's Full Name:		A.	Baker (Last)	
	(First)	(Initial)	(Last)	
Inventor's Signature: Date of Execution:				
Residence:	288 Middle Street, West Newbury, MA 01985, USA (Include number, street name, city, state, and country)			
Citizenship:	United States of Americ	a		
Post Office Address:	(If different from Residence Address)			
Inventor's Full Name:	Charles (First)	J. (Initial)	Klindt (Last)	
Inventor's Signature: Date of Execution:				
Residence:	12 Farrwood Road, Windham, NH 03087, USA (Include number, street name, city, state, and country)			
Citizenship:	United States of Americ	ca		
Post Office Address:	(If different from Reside	nce Address)		